Risk Area	Risk Causes	Impact		Control Measures	Current Risk	Further Action	Residual Risk
1. Provide clearly defined strategic direction to the MPS in a	Lack of clarity around aims, objectives and priorities of MPA. Lack of clarity and	<ul> <li>MPA strategic aims and policing priorities not met:</li> <li>ineffective in fighting crime and reducing criminality;</li> </ul>	•	MPA strategic mission statement Met Forward supporting the delivery of the London Policing Business Plan.	Impact: M Likel'hd: M	The second iteration of Met Forward has been integrated into the draft 2011/12 Policing London Business Plan.	
transparent environment	agreement of policing priorities.	• failure to provide value for money; and	•	MetForward Programme management and monitoring framework.	Û		
that promotes public accountability.	Ineffective leadership. Ineffective public committee meeting	<ul> <li>lack of confidence in policing.</li> <li>Lack of public confidence in the role of the MPA.</li> </ul>	•	MPA unit work plans drawn up to deliver MetForward monitored by MPA SMT, Business Management Group (BMG) and relevant committees.	А	MPA work plans reviewed to ensure that areas of work reflect which area of Met Forward is supported. Monitored regularly by BMG.	
	structure and process.	Lack of public confidence	•	Robust and cohesive leadership by MPA Chair and MPA Chief Executive.			А
	Ineffective implementation of new governance arrangements for	in the role of the MOPC going forward.	•	Regular and effective meetings between MPA Chair, MPA Chief Executive and the Commissioner and MPS Management Board.			
	policing. Loss of MPA members		Effective committee structure and process that promotes transparency and public accountability.				
	before the new arrangements come into effect.	•	•	MPA appointment of ACPO rank officers.		To change as part of MOPC implementation. A joint work strand with the MPS has been initiated to progress.	
	<u>Risk Owner:</u> MPA Chief Executive.		•	Promoting and supporting succession planning in the MPS, focusing on identifying effective leaders of the future.			
			•	MOPC implementation programme, including management of risk (and 'what if' scenario planning) and key stakeholder relationships.		MOPC implementation programme developed with key risks identified and action underway to manage the key relationships.	
			•	MPA committee meetings scheduled post October 2011 in parallel with MOPC implementation plans.		Review how much MPA business can be undertaken under urgency if meetings	

Risk Area	Risk Causes	Impact	Control Measures	Current Risk	Further Action	Residual Risk
					are not quorate.	
			MPA to play a full part in shaping of memorandum of understanding and other national initiatives.		Work is ongoing to shape national changes in policing governance.	
2. To preserve appropriate public accountability and governance in policing.	Ineffective implementation of new policing governance arrangements. Loss of partner and/ or MPS engagement due to the abolition of the MPA. Inadequate segregation of responsibility. III defined governance structure. Inappropriate elected representation. Ineffective oversight and management of police finances. Conflict in agreement on policing priorities. Lack of senior management capacity in MPA and MPS to deliver both business as usual and the change resulting from MOPC Implementation.	Lack of confidence in policing. Lack of focus on public priorities. Not meeting public expectations. Inefficient use of resources.	<ul> <li>Monitor and influence the shaping of the Bill as it passes through Parliament.</li> <li>MOPC implementation programme, including management of risk (and 'what if' scenario planning) and key stakeholder relationships.</li> <li>Tracking of Localism Bill and taking action as necessary as part of MOPC implementation.</li> <li>Clearly defined governance framework with appropriate checks and balances for public accountability.</li> <li>Clearly defined purpose and role of governing body.</li> <li>Appropriate staff and resources to support governance structure.</li> </ul>	Impact: H Likel'hd: H R	Formal responses to consultation have been submitted. Officers are monitoring passage of the Bill and briefings to ministers are provided as appropriate. Senior management meetings with Home Office officials are ongoing. Close working relationship with and input to APACE and PATS submissions. MOPC implementation programme developed with key risks identified and action underway to manage the key relationships. Key impacts of Localism Bill being identified. Discussion with Centre for Public Scrutiny ongoing.	A

Risk Area	Risk Causes	Impact		Control Measures	Current Risk	Further Action	Residual Risk
	MPA Chief Executive.						
3. Identify and reflect local priorities and concerns of all	Ineffective consultation. Inadequate consideration of	Concerns and local priorities of Londoners are not addressed.	•	Priorities reflected in Met Forward.	Impact: M Likel'hd: M	Second iteration of Met Forward produced, to reflect current public and Mayoral priorities.	
Londoners in plans for service delivery going forward.	Londoners concerns. Ineffective planning process.	Lack of public confidence in policing.	•	Regular and effective consultation and community engagement with all representative groups – Community Engagement Strategy.	⇔ A	Ongoing implementation of the MPA/MPS Community Engagement Strategy.	G
	Ineffective governance systems in place to challenge equalities performance across all	Lack of public confidence in the role of the MPA. Lack of awareness of MPA role in diverse	•	Ensure all consultative groups are representative and functioning effectively. Undertaking of annual review of diversity within groups (as part of funding process).	A	The results of the CPEG review, to refocus towards targeted engagement with under-represented groups, are being implemented.	
	MPA community engagement activities (e.g. CPEGs/CMGs). Lack of representation	communities. BME/white satisfaction gap.	•	Effective engagement with Community stakeholders and partnerships.	·	Review being undertaken to ensure stop/search community monitoring role is more visible. Action plan currently being implemented.	
	of diverse communities in the work of CPEGs.	Under-reporting of crime, e.g. hate crime.	•	Use results of consultation effectively to inform policing priorities and plans.			
	Lack of provision for effective consultation with all community	Cross cutting diversity issues are not identified and addressed.	•	Demonstrate/promote in the plan how consultation has influenced priorities and plans.		Annual consultation informs MPA/MPS Policing London Business Plan priorities and referred to in document.	
	groups under new PCC structure.		•	Conduct wider consultation, particularly within business community.		Businesses are encouraged to take part in the consultation exercise.	
	Risk Owner: MPA Deputy Chief Executive.		•	Transition plan for new policing governance arrangements including provision for effective consultation with all community groups.		Implementation plans are being developed to ensure continuity of functions and implementation of new areas. There is oversight of equality and diversity issues within all strands of MOPC implementation.	

Risk Area	Risk Causes	Impact	Control Measures	Current Risk	Further Action	Residual Risk
4. Principles of equalities and diversity underpin MPA strategic plan, and policing plan objectives and activities.	Ineffective consultation. Lack of impetus and commitment to drive change. Lack of awareness and understanding. Failure to implement new legislation including the duties specified under the new Equalities Act. Loss of momentum as partners and the MPS know the MPA will be abolished. Lack of effective governance systems in place to monitor and challenge equalities performance at a strategic level. Failure to implement the recommendations of the race and faith inquiry report. <u>Risk Owner:</u> MPA Chief Executive.	Lack of confidence in policing. Lack of confidence in the MPA. Disproportionality. Grievances/ETs. Legal action.	<ul> <li>Embed equalities and diversity within planning and performance framework.</li> <li>Conduct effective equality impact assessments in all areas of MPA business.</li> <li>Training and briefings for members and staff.</li> <li>Effective scrutiny and oversight of MPS EIAs.</li> <li>Equalities impact properly evaluated as part of Committee decision making process.</li> <li>Appointment of MPA Head of Equalities and Engagement.</li> <li>Development of strategic equalities risk register and process to monitor and integration where appropriate into corporate risk register.</li> <li>Development of a diversity strategy and action plan to be used as a tool to drive performance and monitor continuous improvement over time.</li> <li>Implementation of Race and Faith Inquiry recommendations.</li> <li>Equalities a standing agenda item at SMT, BMT and BMG meetings.</li> <li>All policies, procedures and, business cases to address equalities implications and risks.</li> <li>Equalities and Engagement portfolio.</li> </ul>	Impact: M Likel'hd: M	Guidance on EIA development and oversight has been produced. Equality Act training provided to all staff and members in spring 2011. One to one briefings are being offered to members who were unable to attend the session. Guidance on EIA development and oversight produced. Strategic equalities risk register in place and reviewed regularly. Diversity strategy and action plan currently under development.	A

Risk Area	Risk Causes	Impact	Control Measures	Current Risk	Further Action	Residual Risk
5. Londoners	Failure to define and	MPA strategic plan and	<ul> <li>specifications for recruitment.</li> <li>Information on equalities issues to be gathered from staff survey (internal consultation).</li> <li>Equality Standard.</li> <li>Effective governance of equalities work within the MPA including SMT management, programme tracking and performance reports.</li> <li>Met Forward and policing plan</li> </ul>		Second iteration of Met	
have confidence in the role of the	agree policing priorities. Ineffective MPA	policing priorities not met. Lack of public confidence	<ul> <li>supported by an effective performance management framework.</li> <li>Met Forward Programme management</li> </ul>	Impact: M	Forward produced.	
MPA in effectively Holding the MPS to account for	Ineffective MPA oversight and scrutiny of policing performance.Lack of public confidence in policing.Ineffective response to areas of concern for Londoners.Lack of public confidence in the role of the MPA.Risk Owner: MPA Deputy Chief Executive.Damage to the MPA's reputation / credibility.	<ul> <li>and monitoring.</li> <li>Effective committee structure and process that promotes transparency and public accountability.</li> </ul>	G			
account for performance and ensuring an adequate response to areas of concern.		<ul> <li>Appropriate reporting to and scrutiny of performance at public committees.</li> <li>Clear direction and appropriate support from the MPA to address areas of poor performance.</li> </ul>		Metstandards work and Joint Engagement Meetings assist boroughs by identifying areas for improvement, helping develop solutions and supporting boroughs in delivery.	G	
			Effective member led scrutiny     programme, focusing on key areas for     improvement.		MPA Safer Neighbourhoods scrutiny is being finalised. CLP scrutiny of G20 complete. DNA database and use of DNA in policing scrutiny report being drafted.	
			Prompt and appropriate MPA response to concerns raised by the public, inspection and review bodies, independent oversight bodies e.g. Civil Liberties Panel.			

Risk Area	Risk Causes	Impact	Control Measures Current Risk Further Action	Residual Risk
			Effective media/public communication – dedicated MPA Communications Team. Met Forward Stateholder Management Strategy and delivery plan continues to b implemented. Ongoing monitoring through bi week communications meetings.	
6. Secure and embed organisational learning within the MPS.	Ineffective identification of areas of learning. Perceived 'blame culture'.	Standards not met and incidents re-occur. Complaints from the public.	Give direction at a senior level that encourages a culture that promotes organisational learning in the MPS.	
	Inappropriate response to areas of learning identified.	Reputational damage. Lack of public confidence in policing.	A Regular contact with IPCC and follow up of IPCC recommendations by SOP committee.	А
	Lack of accountability.	Lack of public confidence in the role of the MPA.	Identify and create a common understanding between the MPA and MPS on areas of learning.	
	results in lack of engagement of the MPS and/ or lack of		Agree and define action to be taken to address areas of learning. Representation on MPS Professional	_
	priority given to organisational learning. Risk Owner:		Standards Strategic Committee.         Effective policy development that takes         account of lessons learnt.	
	MPA Deputy Chief Executive.		Proactive MPA oversight of areas identified. Recognise and communicate	_
			effectively improvements achieved through committee process.	
			MOPC implementation plans ensure continued delivery of business as usual.	
7. Continue to focus on core business of the	Challenge to role and purpose of the MPA.	MPA strategic plan, Met Forward and policing priorities not delivered.	Robust and cohesive leadership MPA Chair and MPA Chief Executive.	
Authority in	Lack of strategic		Clear strategy and vision – Met M The second iteration of Met	

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Risk Area	Risk Causes	Impact	Control Measures	Current Risk	Further Action	Residual Risk
times of significant change and	direction and focus on priorities.	Lack of confidence in policing.	Forward.	Û	Forward has been developed and embedded within the 2011/12 Policing Plan.	
challenge.	Ineffective leadership. Ineffective communication.	Lack of public confidence in the role of the authority.	<ul> <li>Effective and resilient MPA SMT.</li> <li>MPA performance management framework – quarterly strategic reviews.</li> </ul>			G
	Ineffective implementation of the new governance		Monthly review of Met Forward     Programme by Business Management     Group.	A	Regularly reviewed at BMG. Updates communicated to all staff on a bi-monthly basis and through bulletins.	
	arrangements for policing. <u>Risk Owner:</u> MPA Chief Executive.		<ul> <li>Embedding cultural change and new ways of working within the MPA – developing new values and behaviours.</li> </ul>		A set of MPA Standards was developed and launched in February 2011, alongside an action plan resulting from the Staff Survey that is being implemented.	
			<ul> <li>Effective MPA communication strategy, including regular staff meetings and briefings.</li> </ul>		Police reform update for staff circulated regularly.	
			MOPC implementation plans ensure continued delivery of business as usual.		Business as usual is considered in all PIDs developed as part of the MOPC implementation programme.	
8. Develop and maintain effective working relationships	Ineffective representation. Ineffective lobbying.	MPA strategic plan, Met Forward and policing priorities not delivered. Lack of credibility and	<ul> <li>Identify all key strategic partners.</li> </ul>	Impact: M Likel'hd: M	Stakeholder engagement mapping to be reviewed now that the second iteration of Met Forward has been agreed.	
with key strategic partners in	Ineffective communication.	damage to MPA reputation.	<ul> <li>Develop effective communication strategy and plan for engaging with all key strategic partners for the MPA.</li> </ul>	Î		
policing: MPS, Home Office, GLA family, Local	Lack of clarity around respective roles and responsibilities.	Duplication of work/inefficient use of resources.	<ul> <li>Open and effective communication between all parties facilitated by the MPA communication strategy and plan.</li> <li>Establish protocols governing the</li> </ul>	А	An operating framework to	A

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Risk Area	Risk Causes	Impact	Control Measures	Current Risk	Further Action	Residual Risk
authorities, APA, LCJB, NPIA.	Lack of engagement by partners due to MPA abolition.		exchange of data / statistics between the MPA and key strategic partners.		de developed with MPS for MOPC arrangements. To include provision of information to MOPC.	
	Risk Owner: MPA Chief Executive.		<ul> <li>Appropriate and effective MPA representation at meetings with key strategic partners providing influential input and giving effective feedback.</li> </ul>			
			<ul> <li>MOPC implementation programme includes a stakeholder management and communication strategy.</li> </ul>		Communication to take place with stakeholders to ensure they understand the functions that will continue under the new MOPC governance arrangements.	
9. Effective management of the budget,	Failure to secure adequate level of funding.	MPA strategic plan and policing priorities not met.	<ul> <li>Aligning strategic and financial planning effectively.</li> </ul>	Impact: M Likel'hd:	<u> </u>	
responding appropriately to	Not aligning the budget	Poor value for money.	<ul> <li>Realistic and accurate MPA budget submission.</li> </ul>	™ ⇔		
the economic climate and budget	to meet agreed priorities.	Inefficient/waste use of resources.	<ul> <li>Identify deliverable savings and monitoring impact on the budget.</li> </ul>		Savings proposals for 2012/13 are being developed.	
pressures maximising the resources available to	Not identifying and/or realising budget efficiencies and savings.	Reputational damage to the MPA and MPS.	<ul> <li>Identifying opportunities for additional funding and effective lobbying for resources.</li> </ul>	А	Discussions with the Home Office are ongoing to lobby for additional protest policing funding.	A
policing.	Ineffective scrutiny and monitoring of the budget.	resources for equalities and engagement work, leading to criticism/ challenge from community	<ul> <li>Economic and efficient use of resources particularly in key areas such as estates, procurement, IS/IT capital programme – Met Support.</li> </ul>			
	Risk Owner:	and/ or employee groups.	<ul> <li>Influential MPA input to and scrutiny of the productivity agenda and Service Improvement Programme.</li> </ul>			
	MPA Treasurer.		Effective MPA scrutiny of the MPS budget – Treasurer, Finance and Resources Committee, Resources and Productivity Sub Committee.		Equality impact assessments for MPA budget to be submitted for SMT approval shortly.	

Risk Area	Risk Causes	Impact	Control Measures	Current Risk	Further Action	Residual Risk
			<ul> <li>Effective budgetary control framework.</li> <li>Effective budget contingency planning</li> </ul>	_		
			<ul> <li>Effective budget contingency planning</li> <li>adequate reserve provision.</li> </ul>			
			<ul> <li>Internal review activity reports on opportunities for better value for money and increased efficiencies.</li> </ul>		A value for money strategy is being progressed.	
			<ul> <li>Opportunities for collaboration and shared services with partners including GLA, MPS and GLA maximised.</li> </ul>		Shared services programme to explore feasibility will start in October 2011. Internal audit shared service arrangement for London Fire Brigade was secured.	
			<ul> <li>Effective management of change programme and clear communication to staff, highlighting need to save money.</li> </ul>			
10. Effective management of risk within	Ineffective MPA oversight and review of risk management and	MPA strategic plan and policing priorities not met.	Effective MPA Corporate Governance Committee responsible for the oversight of risk management.	Impact: M Likel'hd:		
the MPA and the MPS.	the internal control framework within the	Ineffective decision	Effective internal audit service.	<sup>™</sup> ⇔		
the MF S.	MPS.	making.	Effective MPA Corporate Governance Framework.			
	Lack of strategic direction on risk management.	Inefficient use of resources.	Clearly defined MPA and MPS risk management strategies supported by effective risk management process.		Further review of MPA risk register and evaluation of key control measures.	
	Inadequate policy and	Potential key risks not identified and			DARA review of MPS risk maturity model.	
	procedures supporting the embedding of risk management.	subsequently materialise.	<ul> <li>MPA SMT, BMG and MPS Management Board buy-in to risk management approach.</li> </ul>	A		G
	Inadequate internal control framework.	credibility. Possibility of legal action against MPA/MPS.	Embedding risk management in MPA/MPS corporate planning and performance management framework.		Further integration of risk management into MPA planning and performance framework.	
	Risk Owner:		Early identification and escalation of		Met Forward reports to BMG	

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Risk Area	Risk Causes	Impact	Control Measures	Current Risk	Further Action	Residual Risk
	MPA Director of Audit, Risk and Assurance.	t, Loss of resources.	emerging risks through MPA SMT and BMG.		key emerging risks for action. The emerging issues and risks log is updated regularly and presented to BMG.	
			BMG review and monitoring of action taken to mitigate and manage corporate and emerging risks.		A regular agenda item at BMG meetings.	
11. National role in policing delivered effectively and to the benefit of Londoners (CT, Olympics	Lack of clarity and definition of national responsibilities. Ineffective governance.	Inability to deliver operational policing requirements effectively. Adverse effect on policing role/priorities in London.	<ul> <li>Training for staff/members.</li> <li>Working with the MPS and Home Office to clearly define national role and responsibilities.</li> </ul>	Impact: M Likel'hd: M	Olympics role well in hand. Refining MPA CT role and responsibilities as Govt changes to CT national governance materialise. National review of Prevent is complete and Contest due by	
/Paralympics).	<ul> <li>management of relationships.</li> <li>Inadequate resources.</li> <li>Inadequate oversight.</li> <li>MOPC implementation results in loss of lead members in areas such</li> </ul>	Loss of prestige, lack of future ability to influence Damage to reputation. Negative impact of Olympics legacy. Loss of knowledge regarding key areas such as CT.	<ul> <li>Clearly define strategy and objectives for national role and responsibilities.</li> <li>Develop a defined and effective governance framework for national responsibilities.</li> <li>Secure adequate resources to fulfil national role and responsibilities.</li> </ul>	A	July 2011. DARA advising on the development of ACPO TAM governance framework. Discussions with the Home Office are ongoing to secure necessary additional Olympics funding.	A
	as CT. Lack of clarity regarding role of NCA. <u>Risk Owner:</u> MPA Deputy Chief Executive.		<ul> <li>Effective performance management framework governing national role in policing.</li> <li>Effective MPA oversight – CT and Olympics sub committees.</li> <li>Effective community engagement and consultation with Londoners.</li> </ul>		CTPS continues to undertake oversight of all CONTEST strands on a rolling basis. DARA review of Olympics budgetary control framework.	
12. Effective	Lack of clarity around	Failure to deliver strategy	Clearly defined recruitment and	Impact:	A statement regarding career	

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Risk Area	Risk Causes	Impact	Control Measures	Current Risk	Further Action	Residual Risk
development and use of MPA expertise, skills, resources and	role and purpose of the MPA. Ineffective performance monitoring framework.	and meet performance targets. Disproportionate number of staff grievances and	retention policy – aiming for a highly skilled and diverse workforce whilst recognising the limited career progression opportunities in the policy area of the business.	M Likel'hd: L	progression is being developed as part of the MPA Standards work.	
work plans to support	Inadequately skilled	ETs and grievance culture perception.	<ul> <li>Favourable employment terms and conditions.</li> </ul>	Î		
delivery of the MPA strategic mission Met Forward.	members and workforce. Low morale.	Damage to reputation and credibility. Workforce not adapting to	Dynamic training and development strategy for staff and members involving a leadership and development programme covering	А	An MPA skills audit and associated training is being delivered to all staff.	A
	Inappropriate staff structure. Inadequate resources,	future needs.	<ul> <li>eadership in diversity.</li> <li>Clearly defined HR strategy and policies supported by effective processes that are consistently applied.</li> </ul>			
	including loss of resources due to maternity leave.		<ul> <li>Clearly defined objectives and work plans designed to meet strategic aims of Met Forward.</li> </ul>		Project management toolkit developed. Staff training arranged/ support given to embed principles. Met	
	Inadequate experience and skills in diversity and overreliance on				Forward project support available to all project leads.	
	key individuals to champion change.		Met Forward programme management framework.			
	Lack of succession		Effective performance management framework.			
	planning.		<ul> <li>Clearly defined personal objectives linked to unit and corporate objectives.</li> </ul>			
	Ineffective management and communication to staff		<ul> <li>Effective performance appraisal system for members and staff.</li> </ul>			
	of new policing governance arrangements results in		<ul> <li>MPA internal communication strategy.</li> <li>Effective staff representation and consultation.</li> </ul>			
	above risk causes.		<ul> <li>Effective handling of staff grievances, supported by clearly communicated standards of behaviour expected and</li> </ul>		Grievance procedure is being reviewed in light of staff survey results.	

Risk Area	Risk Causes	Impact	Control Measures	Current Risk	Further Action	Residual Risk
	Risk Owner: MPA Chief Executive.		training and support for managers in dealing with staff who do not comply.		Values and behaviours work lead to new set of MPA standards that were launched in February 2011.	
			Clearly defined and tested business continuity plan.		The business continuity plan is under review.	
			Effective health and safety policies     and procedures.		This is a quarterly agenda item at SMT.	
			Organisation structure to support delivery of Met Forward and to mainstream equalities within this.		A review of the work within the equalities and engagement team to be undertaken to encourage mainstreaming of equalities and to ensure the best use of available resources.	
			<ul> <li>Skills audit of staff and utilisation opportunities maximised.</li> </ul>		A skills audit is underway. Team building events and opportunities to utilise and cross fertilise skills will then be sought.	
			Mentoring of staff.		Mentoring programme has been launched.	
			• Effective MOPC implementation and communication plans, with staff in the MPA and MPS.			
13. High profile MPA initiatives	Inadequate skills and/or resources.	Lack of confidence in policing.	Clearly defined objectives and deliverables.	Impact: M Likel'hd:		
are delivered in line with requirements	Ineffective oversight and management.	Reputational damage to the MPA.	<ul> <li>Appropriately skilled officer support.</li> <li>Dedicating adequate resources to initiative.</li> </ul>			
and expectations.	Ill defined objectives.	Lack of credibility.	• Setting a reasonable and achievable timescale.			
	Unrealistic expectations.	Inefficient use of resources.	<ul> <li>Effective management and oversight and intervention by BMG when required.</li> </ul>	A	A regular highlight report on delivery progress of high profile initiatives presented to BMG to agree action.	A
	Ineffective		Effective media/public communication			

Ris	k Area	Risk Causes	Impact	Control Measures	Current Risk	Further Action	Residual Risk
		communication. <u>Risk Owner:</u> MPA Chief Executive.		<ul> <li>strategy.</li> <li>Publicly reporting progress and output of high profile reviews.</li> </ul>		Race and Faith review reported to Full Authority and recommendations are being progressed. A multi point entry seminar was held in January 2011.	
						Second iteration of Civil Liberties Panel G20 report was published.	