Internal Audit Plan 2006/2007 based on probable staffing levels

	Systems audit staff days	Forensic audit staff days	Total days
Total available time	4300	2448	6748
Total indirect time	879	408	1287
Total direct time available	**3421**	**2040**	**5461**
Systems audits carried forward	266	0	266
Operational audits	440	82	522
Follow up audits	549	20	569
Control advice	60	30	90
Systems development work	460	30	490
Analysing key financial systems	40	170	210
Ring fenced for investigations	0	1523	1523
National fraud initiative work	0	100	100
Total direct audit time committed	**1815**	**1955**	**3770**
Balance of in-house days available	**1606**	**85**	**1691**
Add			
Contracted in audit days	0	5	5
Less			
Corporate Development	124	20	144
Internal audit projects	150	40	190
Planning	92	30	122
Contingency (up to 5% of direct time)	185	0	185
Summary			
Time available for new audits	**1055**	**0**	**1055**

Reconciliation of Need Against Plan

	Days	% Need	Plan Days	% Plan
Annual systems audit need	3281	54%	2407	50%
Systems development need	580	10%	580	12%
Managed audit work	210	3%	210	4%
Total systems audit and audit advice need	**4071**	67%	**3197**	66%
Total forensic audit need (including support for investigations)	1976	33%	1628	34%
Total annual internal audit need	**6047**	**100%**	**4825**	**100%**
Shortfall against need			**1222**	**20%**

PROPOSED SYSTEMS AUDIT PLAN 2006/07

System Group	Audit Title	Risk	Days
Business Management	Management of Outsourced IS/IT & Telephony Services	High	50
Business Management	Management of Outsourced Property Services	High	40
Business Management	Programme Management Framework	Mid	40
Corporate	Corporate Risk Assessment & Management	High	30
Corporate	Strategic Planning, Budgetary Planning & Performance Management	High	50
Corporate	Police Collision Claims/Insurance	Mid	30
Corporate	Public Relations - Funding & Control	Mid	35
Corporate	Energy Policy and Procedures	Mid	45
Covert Activities	Informants Reward System	High	45
Covert Activities	Restricted - A	Mid	60
Covert Activities	Restricted - B	High	40
Human Resources	Secondments & Abstractions	Mid	40
Human Resources	Equalities, Diversity Application & Monitoring	High	40
Human Resources	Police Probationer Training	High	40
Human Resources	Local Resource Management – including Temporary Staff	Mid	45
Human Resources	Gifts and Hospitality	Mid	30
National Policing	Common Police Services (Support inside UK)	High	45
Operational Support	Command and Control Management	High	60
Operational Support	Traffic Control Management and Enforcement	High	30
Operational Support	Use and Control of Firearms	High	50
Operational Support	Provision and Disposal of Property, Fittings, etc (Protection)	High	40
Operational Support	Systems Supporting Public Order Operations	Mid	40
Operational Support	Systems Supporting Service Wide Priorities - Together	Mid	30
Operational Support	Systems Supporting Service Wide Priorities – Safer Neighbourhoods	Mid	30
Operational Support	Use and Deployment of Volunteers	Mid	40
Operational Support	Systems for use of Dogs and Horses	Mid	50
Operational Support	Criminal Justice Processes	Mid	60
Operational Support	Use of Mobile Resources - SCD	Mid	50

INTERNAL AUDIT PROGRAMME OF FOLLOW UP REVIEWS 2006/07

SYSTEM GROUP	AUDIT TITLE
Business Management	Amendments to Pay Standing Data
Business Management	Business Performance Management
Business Management	Catering Sales and Trading
Business Management	DCC8 Directorate of Professional Standards
Business Management	Dispatch and Distribution
Business Management	Management of PFI
Business Management	Police - Allowances & Expenses
Business Management	Police Officers/Staff & Dependents Pensions
Business Management	Police Overtime Payments
Corporate	Access & Usage Control (IT)
Corporate	Business Continuity/Disaster Recovery
Corporate	IS Strategy and Planning
Corporate	Partnerships Funding & Control
Covert Activities	There are 7 follow up reviews in this system group of a restricted nature
Estates	Estate Strategy Management
Estates	Local Procedures/Minor Works
Estates	Major Works
Estates	Property Acquisitions and Disposals
Financial Support	Budget Preparation, Approval & Monitoring
Human Resources	Attendance Management
Human Resources	Benevolent, Relief & Welfare Funds
Human Resources	Induction of Police Staff Recruits
Human Resources	Management Training and Development
Human Resources	Police Operational Training
Human Resources	Police Specialised Training
Human Resources	Police Staff Recruitment
Human Resources	Retention – Incentives and Rewards
Human Resources	Strategic Planning for Training
National Policing	Complaints, Monitoring, Statistics & Records
National Policing	National Identification Service
National Policing	Registration of Overseas Visitors & Immigration Checks
Operational Support	Barking & Dagenham BOCU
Operational Support	Crime Reporting Information System (CRIS)
Operational Support	Ealing BOCU
Operational Support	Forensic Medical Examiners - Payments
Operational Support	Forensic Medical Examiners - Recruitment & Training

Operational Support	Greenwich BOCU
Operational Support	Hackney BOCU
Operational Support	Haringey BOCU
Operational Support	Imaging Services, Identification Services, DNA & Fingerprints
Operational Support	Islington BOCU
Operational Support	Major Incident Response
Operational Support	Newham BOCU
Operational Support	Resourcing & Management of Specials
Operational Support	Road Accident Reports and Intelligence
Operational Support	SCD5 Child Protection
Operational Support	SCD7 Serious and Organised Crime
Operational Support	SO12 Special Branch
Operational Support	SO13 Anti Terrorist Branch
Operational Support	SO18 Heathrow
Operational Support	Southwark BOCU
Operational Support	System Supporting Cash Seizures
Operational Support	Systems Supporting Service-wide Priorities - Sapphire
Operational Support	Systems Supporting Service-wide Priorities - Trident
Operational Support	Systems Supporting Visual Identification
Operational Support	Technical Support - OTSU
Operational Support	Tower Hamlets BOCU
Operational Support	Traffic Fines
Operational Support	Transport OCU
Operational Support	Voice Communications
Operational Support	Wandsworth BOCU
Operational Support	Westminster BOCU
Procurement	Sponsorship Income Generation - Monitoring & Control

INTERNAL AUDIT - PROPOSED SYSTEMS DEVELOPMENT PROJECTS 2006/07

SYSTEM GROUP	PROJECT
Business Management	MetTime
Business Management	e-business
Business Management	Outsourcing of Major Services
Business Management	Information Management
Business Management	MetFin – Directorate of Resources IS/IT Support Systems
Corporate	METSEC Project Board
Corporate	National Fraud Initiative
Corporate	PKI - Corporate IT Security
Corporate	MPS Modernisation
Corporate	Corporate Risk Assessment & BC/DR
Covert Activities	Covert Control Environment
Financial Support	Activity Based Costing
Financial Support	Devolved Financial Management
Human Resources	MetHR Corporate Personnel Project
Human Resources	Police Officer Career Management
Operational Support	Risk & Control Awareness Training
Operational Support	Airwave
Operational Support	AWARE
Operational Support	C3I
Operational Support	Crime Property in MPS
Operational Support	IIP
Operational Support	e-policing
Operational Support	Vehicle Recovery & Examination Service
Operational Support	Air Support Unit Project
Operational Support	METAFOR
Operational Support	NSPIS
Operational Support	MetMIS
Operational Support	Traffic Reporting Information System

PROPOSED BOCU/OCU INTERNAL AUDIT PLAN 2006/07

Main System Group	Audit Title	Risk
Special Operations	SO17 Palace of Westminster	High
Special Operations	SO2	High
Central Operations	CO4 National Identification Service	High
Territorial Policing	Bexley	High
Territorial Policing	Hammersmith	High
Territorial Policing	Islington	High
Territorial Policing	Kingston	High
Territorial Policing	TPHQ	High
Corporate Strategy	Events and Income Generation	High
Corporate Strategy	Traffic Criminal Justice Unit	High
Territorial Policing	Royal Parks Police	High