

PROPOSED INTERNAL AUDIT SYSTEMS AUDIT PLAN 2008/09

System Group	Audit Title	Risk
Central Operations	Police / Police staff Support Outside the UK	High
Central Operations	Systems Supporting Shared Services	Med
Human Resources	ACPO - Pay and Conditions	Med
Human Resources	Induction and Assessment of New Recruits	High
Human Resources	Police Specialised Training	High
Human Resources	Use and Control of Consultants	High
Human Resources	Vehicle Fleet Management (incl. Prov. and Disposal)	High
Human Resources	Vehicle Maintenance and Repair	High
Information	Corporate Data Warehouse	High
Information	Enterprise Content Management	Med
Information	Information Records Management	Med
Information	Security of Information	Med
Operational Services	Covert Account Control - Professional Standards	High
Operational Services	Planning for the Prevention and Detection of Fraud and Corruption	Med
Operational Services	Provision of Legal Advice and Services	Med
Public Affairs	Messenger and Mail Services	Med
Specialist Crime	Covert Human Intelligence Systems	Med
Specialist Crime	Commission and Use of Independent Advisors	Med
Specialist Crime	Covert Finance Support	Med
Specialist Crime	Missing Person Linked Indices System (Merlin)	Med
Specialist Crime	Security Vetting and Clearance	Med
Specialist Crime	Conflict Policing	Med
Strategic Finance	Budget Preparation, Approval and Monitoring	High
Strategic Finance	Environmental Policy and Procedures	Med
Strategic Finance	Fees and Charges System	Med
Strategic Finance	Grants Funding and Control	Med
Strategic Finance	Major Works	Med
Strategic Finance	Management of Outsourced Financial Services	High
Strategic Finance	Management of Outsourced Property Services	High
Strategic Finance	Procurement and Contract Management	Med
Strategic Finance	Use and Control of Mobile Resources	Med
Strategic Finance	VAT Control	High
Strategic Finance	Write-Offs and Losses	Med
Strategy, Modernisation and Performance	Programme Management Framework	High
Strategy, Modernisation and Performance	Service Modernisation and Improvement	Med
Territorial Policing	Custody - Records and Procedures	Med
Territorial Policing	Local Intelligence Support Systems	Med

PROPOSED INTERNAL AUDIT FOLLOW UP SYSTEMS PLAN 2008/09

SYSTEM GROUP	AUDIT TITLE
Central Operations	Accident, Riots and Collision Claims and Payment Insurance
Central Operations	Systems for use of Dogs and Horses
Central Operations	Use and Control of Firearms
Central Operations	Vehicle Removal and Statutory Charges
Human Resources	Equalities and Diversity Application and Monitoring
Human Resources	Gifts and Hospitality
Human Resources	Health and Safety Legislation Implementation
Human Resources	Management of Outsourced Transport Services
Human Resources	PCSO Recruitment
Human Resources	Police Officer Recruitment
Human Resources	Police Specialised Training (Crime Academy)
Human Resources	Retention – Incentives and Rewards
Human Resources	Secondments and Abstractions
Information	External Data Communications
Information	IT/IS Access and Usage Control
Information	Management of Outsourced IS/IT and Telephony Services
Operational Services	Use of Covert Resources (DPS) (C)
Public Affairs	Public Relations Funding and Control
Specialist Crime	Accounts Control – SCD11
Specialist Crime	Major Enquiries Systems
Specialist Crime	Use of Mobile Resources - SCD (C)
Specialist Operations	Royalty/VIP/Diplomatic Protection - Funding and Control
Specialist Operations	SO15 - Secure Intelligence Systems (C)
Specialist Operations	Systems for Intelligence and Detection
Strategic Finance	Building Security – Physical, Technical, Guards
Strategic Finance	Cash Security and Disbursement
Strategic Finance	Claims, Debtors and Debtor Control
Strategic Finance	Creditor Payment System
Strategic Finance	Energy Policy and Procedures
Strategic Finance	Estate Strategy Management
Strategic Finance	Estates – Property Leasing, Rent and Rates
Strategic Finance	Financial and Accounting Advice
Strategic Finance	Investment, Borrowing and Cash Management
Strategic Finance	Maintenance of Payroll Data
Strategic Finance	Management of Additional Funding
Strategic Finance	Pay Reconciliation (including Statutory Deductions)
Strategic Finance	Police Officer Overtime Payments
Strategic Finance	Police Staff Overtime Payments
Strategic Finance	Property Acquisitions and Disposals

Strategic Finance	Provision and Disposal of Fixtures and Fittings for Covert Properties
Strategic Finance	Systems Supporting Financial Reporting
Strategy, Modernisation and Performance	Business Performance Management
Strategy, Modernisation and Performance	Corporate Risk Assessment and Management
Strategy, Modernisation and Performance and Strategic Finance	Strategic and Budgetary Planning and Performance Management
Strategy, Modernisation and Performance	Systems Supporting Service-wide Priorities - Together
Territorial Policing	Criminal Justice Processes
Territorial Policing	Systems Supporting Service Wide Priorities - Safer Neighbourhoods
Territorial Policing	Use and Deployment of Volunteers

PROPOSED INTERNAL AUDIT SYSTEMS DEVELOPMENT PLAN 2008/09

SYSTEM GROUP	PROJECT
Central Operations	Olympics
Human Resources	MetTime
Human Resources	Transforming HR
Information	Corporate Data Warehouse
Information	Electronic Document Records Management (EDRM)
Information	Enterprise Content Management
Information	Identity and Access Management (IAM)
Information	Management of Police Information (MOPI)
Information	MetMIS
Information	METSEC Project Board
MPA	MPA Connect Programme
MPA	MPA Estates Oversight Group
MPA	MPA HR Oversight Group
MPA	MPA IS/IT Oversight Group
MPA	MPA Procurement Oversight Group
MPA	National Fraud Initiative
Specialist Crime	Covert Control Environment
Specialist Crime	METAFOR
Specialist Crime	Secure Communications
Strategic Finance	Activity Based Costing
Strategic Finance	Finance Modernisation Programme
Strategic Finance	Corporate Governance Framework
Strategy, Modernisation and Performance	Corporate Risk Assessment and Business Continuity
Strategy, Modernisation and Performance	MPS Modernisation
Strategy, Modernisation and Performance	Risk and Control Awareness Training
Territorial Policing	NSPIS

PROPOSED INTERNAL AUDIT B/OCU PLAN 2008/09

System Reviews

Main System Group	Audit Title	Risk
Central Operations	TSG	High
Central Operations	CO12 Olympics	High
Central Operations	CO19 Force Firearms Unit	High
Specialist Crime	SCD – HQ	High
Specialist Operations	SO15 Counter Terrorism Command	High
Territorial Policing	Hounslow	High

Follow-Ups

Main System Group	Audit Title	Risk
Territorial Policing	TPHQ	High
Territorial Policing	Waltham Forest BOCU	High
Territorial Policing	Bexley	High
Territorial Policing	Camden	High
Central Operations	CO4 National Identification Service	High
Territorial Policing	Croydon	High
Territorial Policing	Islington	High
Territorial Policing	Kensington and Chelsea	High
Territorial Policing	Kingston	High
Territorial Policing	Lewisham BOCU	High
Specialist Crime	SCD5 Child Protection	High
Specialist Operations	SO17 Palace of Westminster	High
Specialist Operations	SO2	High