

**AUDIT COMMISSION / ALARM RISK MANAGEMENT SURVEY
METROPOLITAN POLICE SERVICE KEY PERFORMANCE INDICATOR**

Question No.	Question	Response		
		2003/04	August 2004	April 2005 *
Q3	Has your authority carried out a self-assessment of corporate governance in line with CIPFA/SOLACE "A keystone for community governance framework"?	No	No	Yes
Q4	If so what was your overall assessment of your compliance with the risk management and internal control element?	N/A	N/A	TBA
Q5.1	Is risk management clearly part of the overall arrangements for achieving corporate objectives?	No	No	Yes
Q5.2	Is upside risk taking and innovation considered as part of your risk management arrangements?	No	Yes	Yes
Q5.3	Is there a formal process for advising elected members of risk management implications of decisions?	No	No	Yes
Q5.4	Is there a risk management implication section on committee/cabinet papers?	No	Yes	Yes
Q5.5	Are significant risks formally considered under delegated decision making arrangements?	No	No	Yes
Q5.6	Does the authority formally record risk management considerations in business/service plans?	No	No	Yes
Q6.1	Has the authority produced a formal written strategy for managing risk?	No	Yes	Yes
Q6.2	Has the authority allocated responsibilities for corporate/business risk management to named individuals?	No	No	Yes
Q7	<i>Which of the following risk categorisations are used in identifying?</i>			
Q7.1	Strategic	Yes	Yes	Yes
Q7.2	Operational	Yes	Yes	Yes
Q7.3	Financial	Yes	Yes	Yes
Q7.4	Legal/compliance	Yes	Yes	Yes
Q7.5	Knowledge	Yes	Yes	Yes
Q7.6	Health and safety related	Yes	Yes	Yes
Q7.7	Asset/infrastructure related	Yes	Yes	Yes
Q7.8	Other, please specify	N/A	N/A	N/A
Q8	Has an authority wide risk profile	No	Yes	Yes

	been produced?			
Q9	<i>If yes, does it include the following?</i>			
Q9.1	Links to corporate objectives	N/A	Yes	Yes
Q9.2	Links to business planning	N/A	No	Yes
Q9.3	Consideration of consequences	N/A	Yes	Yes
Q9.3.1	Upside risks	N/A	Yes	Yes
Q9.3.2	Downside risks	N/A	Yes	Yes
Q9.4	Links to relevant performance indicators	N/A	No	Yes
Q9.5	Links to budgets and resource allocations	N/A	No	Yes
Q9.6	Assessment of existing preventative and reactive controls	N/A	No	??
Q9.7	Assessment of additional controls required	N/A	No	??
Q9.8	Evidence of regular review and monitoring	N/A	No	Yes
Q9.9	Links to internal and external audit plan identified risks	N/A	No	Yes
Q10	Has an action plan for implementing controls been developed	No	No	Yes
Q11	<i>If yes, does it include the following?</i>			
Q11.1	Action required to a specific timescale	N/A	N/A	Yes
Q11.2	Responsibilities of specified staff	N/A	N/A	Yes
Q11.3	Resources required	N/A	N/A	Yes
Q12	Does the authority monitor the effectiveness of its risk management arrangements?	No	No	Yes
Q13	<i>Which of the following tools do you use to monitor risks: indicate all that apply?</i>			
Q13.1	Performance management framework	Yes	Yes	Yes
Q13.2	National BV performance indicators	Yes	Yes	Yes
Q13.3	Local performance indicators	Yes	Yes	Yes
Q13.4	Regular review of internal controls	No	No	??

* Projected responses taking account of risk management programme