Risk Area	Risk Causes	Impact		Control Measures	Current Risk	Further Action	Residual Risk
1. Provide clearly defined strategic direction to the MPS in a transparent environment that promotes public	Lack of clarity around aims, objectives and priorities of MPA  Lack of clarity and agreement of policing priorities  Ineffective leadership	MPA strategic aims and policing priorities not met:  • ineffective in fighting crime and reducing criminality  • failure to provide value for money  • lack of confidence in policing	•	MPA strategic mission statement Met Forward supporting the delivery of the London Policing Business Plan	Impact: M Likel'hd: M	Comments from members on the draft iteration of 'Driving the Met Forward' have been received. Discussions taking place with MPS about integrating the two documents. To be presented to November BMG for comment.	
accountability	Ineffective public committee meeting structure and process	Lack of public confidence in the role of the MPA	•	MetForward Programme management and monitoring framework	A	To be reviewed in light of the Met Forwad refresh, looking at incorporating the MPS policing plan and MPA business plan objectives and review of PIDs	A
	Risk Owner: MPA Chief Executive		•	MPA unit work plans drawn up to deliver MetForward monitored by MPA SMT, Business Management Group (BMG) and relevant committees.		MPA work plans reviewed to ensure that areas of work reflect which area of Met Forward is supported.	
			•	Robust and cohesive leadership by MPA Chair and MPA Chief Executive Regular and effective meetings between MPA Chair, MPA Chief Executive and the Commissioner and MPS Management Board Effective committee structure and			
			•	process that promotes transparency and public accountability  MPA appointment of ACPO rank			
			•	officers  Promoting and supporting succession planning in the MPS, focusing on identifying effective leaders of the future			

2. To preserve appropriate public accountability and governance in policing	Government legislation and proposed changes outlined in 21 <sup>st</sup> Century Policing document, including abolition of MPA  Loss of partner and/ or MPS engagement	Lack of confidence in policing  Lack of focus on public priorities  Not meeting public expectations	•	Engage with and influence consultation on proposed changes in accountability	Impact: H Likel'hd: H  R	MPA submitted response to the consultation paper 'Policing in the 21 <sup>st</sup> Century' in September 2010.  Senior management meetings with Home Office officials are ongoing.	A
	because of the proposed abolition of the MPA  Inadequate segregation	Inefficient use of resources	•	Clearly defined governance framework with appropriate checks and balances for public accountability  Clearly defined purpose and role of	D	Senior Management input to APACE and PATS submissions.  Await framework to support	A
	of responsibility  Ill defined governance structure		•	governing body  Appropriate staff and resources to support governance structure	R	the Home Office proposals.	
	Inappropriate elected representation  Ineffective oversight						
	and management of police finances  Conflict in agreement on policing priorities						
	Risk Owner MPA Chief Executive						
3. Identify and reflect local priorities and concerns of all Londoners in plans for service delivery going forward	Ineffective consultation Inadequate consideration of Londoners concerns Ineffective planning process	Concerns and local priorities of Londoners are not addressed  Lack of public confidence in policing	•	Priorities reflected in Met Forward	Impact: M Likel'hd: M	Draft second iteration of Met Forward produced, to reflect current public and Mayoral priorities. Board agreement of approach by members at away session on the 29 October. Additional strategic drivers added.	

	Ineffective governance systems in place to challenge equalities performance across all MPA community engagement activities (e.g. CPEGs/CMGs)  Lack of representation of diverse communities	Lack of public confidence in the role of the MPA  Lack of awareness of MPA role in diverse communities  BME/white satisfaction gap  Under-reporting of crime, e.g. hate crime	•	Regular and effective consultation and community engagement with all representative groups – Community Engagement Strategy Ensure all consultative groups are representative and functioning effectively. Undertaking of annual review of diversity within groups (as part of funding process).	A	Implementation of Community Engagement Strategy signed off by Full Authority in July 2010. CPEG review is being undertaken to refocus towards targeted engagement with under- represented groups. Action plan currently being implemented	G
	in the work of CPEGs  Lack of provision for effective consultation with all community groups under new PCC	Cross cutting diversity issues are not identified and addressed	•	Effective engagement with Community stakeholders and partnerships		CMG review being undertaken to ensure stop/search community monitoring role is more visible. Action plan currently being implemented.	
	structure  Risk Owner: MPA Deputy Chief		•	Use results of consultation effectively to inform policing priorities and plans  Demonstrate/promote in the plan how consultation has influenced priorities		Annual consultation informs MPA/MPS Policing London	
	Executive		•	and plans  Conduct wider consultation, particularly		Business Plan priorities and referred to in document Relevant stakeholders are	
			•	Transition plan for new PCC structure		encouraging businesses to take part in the current consultation exercise.	
				including provision for effective consultation with all community groups			
4. Principles of equalities and	Ineffective consultation	Lack of confidence in policing	•	Embed equalities and diversity within planning and performance framework	Impact: M Likel'hd:		
diversity underpin MPA strategic plan, and policing	Lack of impetus and commitment to drive change	Lack of confidence in the MPA	•	Conduct effective equality impact assessments in all areas of MPA business	M T	Guidance to be produced on SMT's responsibilities to improve quality of EIAs	
plan objectives and activities.	Lack of awareness and understanding  Failure to implement	Disproportionality Grievances/ETs	•	Training and briefings for members and staff		Quarterly Equality Act Briefings Update to be implemented	

	new legislation including the duties	Legal action	Effective scrutiny and oversight of MPS EIAs	
	specified under the new Equalities Act Loss of momentum as		Equalities impact properly evaluated as part of Committee decision making process  A Committee report template currently being revised to ensure fit for purpose regarding equalities impact.	A
	partners and the MPS know the MPA will be abolished		Appointment of MPA Head of Equalities and Engagement  New Head of Equalities joined at end of September 2010.	
	Lack of effective governance systems in place to monitor and challenge equalities		Development of strategic equalities risk register and process to monitor and integration where appropriate into corporate risk register  Strategic equalities risk register under development	
	performance at a strategic level Failure to implement		Development of a diversity strategy and action plan to be used as a tool to drive performance and monitor continuous improvement over time  Diversity strategy and action plan currently under development	
	the recommendations of the race and faith inquiry report		<ul> <li>Implementation of Race and Faith Inquiry recommendations</li> <li>Equalities a standing agenda item at</li> </ul> Race and Faith Delivery group to be set up	
	Risk Owner:		SMT, BMT and BMG meetings	
	MPA Chief Executive		All policies, procedures and, business cases to address equalities implications and risks	
			<ul> <li>Equalities and Engagement portfolio</li> <li>Equalities criteria in all person</li> </ul>	
			specifications for recruitment	
			Information on equalities issues to be gathered from staff survey (internal consultation)	
			Equality Standard	
			Effective governance of equalities work within the MPA including SMT management, programme tracking and performance reports	
5. Londoners have confidence in the role of the	Failure to define and agree policing priorities  Ineffective MPA	MPA strategic plan and policing priorities not met  Lack of public confidence	<ul> <li>Met Forward and policing plan supported by an effective performance management framework</li> <li>Draft iteration of Met Forward produced. Performance management framework to be produced in partnership</li> </ul>	
ule lole of the	HIGHEORIVE MICK	Lack of public confidence	De produced in partnership	

MPA in	oversight and scrutiny	in policing		Likel'hd:	with the PPPI team reflecting	
effectively	of policing performance			Likeriia.	KPIs outlined in the policing	
Holding the	or policing performance	Lack of public confidence			plan and internal MPA	
MPS to	Ineffective response to	in the role of the MPA		$\Leftrightarrow$	business plan.	
account for	areas of concern for	In the fole of the Mi A	Met Forward Programme management	-	To be reviewed in light of the	
performance	Londoners	Damage to the MPA's	and monitoring		refresh – looking at	
and ensuring	Londoners	reputation / credibility	and monitoring		incorporating MPS policing	
an adequate		Topulation / Orcalomity			plan and MPA business plan	
response to	Risk Owner:				objectives and review of	
areas of	MPA Deputy Chief				PIDs	
concern	Executive		Effective committee structure and		Better promotion of what the	
CONSCIN	Excodive		process that promotes transparency	G	committees are doing to be	G
			and public accountability		considered	
			Appropriate reporting to and scrutiny of	-	Considered	
			performance at public committees			
			· · · · · · · · · · · · · · · · · · ·		Metstandards work and Joint	
			Clear direction and appropriate support     from the MDA to address group of poor		Engagement Meetings assist	
			from the MPA to address areas of poor performance		boroughs by identifying areas	
			performance		for improvement, helping	
					develop solutions and	
					supporting boroughs in	
					delivery	
			Effective member led scrutiny		MPA Safer Neighbourhoods	
			programme, focusing on key areas for		scrutiny is progressing.	
			improvement		CLP scrutiny of G20	
			Improvement		complete. DNA database	
					and use of DNA in policing	
					scrutiny underway.	
			Prompt and appropriate MPA response		,	
			to concerns raised by the public,			
			inspection and review bodies,			
			independent oversight bodies e.g. Civil			
			Liberties Panel			
			Effective media/public communication		Met Forward Stateholder	
			dedicated MPA Communications		Management Strategy and	
			Team		delivery plan produced and	
					agreed by BMG / SMT.	
					Ongoing monitoring through	
					bi weekly communications	
					meetings	

6. Secure and embed organisational learning within the MPS.	Ineffective identification of areas of learning  Perceived 'blame culture'  Inappropriate response to areas of learning identified  Lack of accountability  Risk Owner: MPA Deputy Chief Executive	Standards not met and incidents re-occur  Complaints from the public Reputational damage  Lack of public confidence in policing  Lack of public confidence in the role of the MPA	•	Give direction at a senior level that encourages a culture that promotes organisational learning in the MPS  Identify and create a common understanding between the MPA and MPS on areas of learning  Agree and define action to be taken to address areas of learning  Representation on MPS Professional Standards Strategic Committee  Effective policy development that takes account of lessons learnt  Proactive MPA oversight of areas identified  Recognise and communicate effectively improvements achieved through committee process	Impact: H Likel'hd: M A	MPA participate in MPS Organisational Learning Forum. Quarterly reports on organisational learning go to committee  Regular contact with IPCC and follow up of IPCC recommendations by SOP committee	A
7. Continue to focus on core business of the Authority in times of significant change and challenge.	Challenge to role and purpose of the MPA  Lack of strategic direction and focus on priorities  Ineffective leadership  Ineffective communication Risk Owner: MPA Chief Executive	MPA strategic plan, Met Forward and policing priorities not delivered  Lack of confidence in policing  Lack of public confidence in the role of the authority	•	Robust and cohesive leadership MPA Chair and MPA Chief Executive  Clear strategy and vision – Met Forward  Effective and resilient MPA SMT MPA performance management framework – quarterly strategic reviews Monthly review of Met Forward Programme by Business Management	Impact: M Likel'hd: M  A	Comments from members on the draft iteration of 'Driving the Met Forward'.  Discussions taking place with MPS about integrating the two documents. To be presented to November BMG for comment.  Specific reporting template developed. Ongoing	G

				TORATE NISK FROTTLE			
				Group		reporting since February 2010. Updates communicated to all staff on a monthly basis and through notice board bulletins.	
			•	Embedding cultural change and new ways of working within the MPA – developing new values and behaviours			
			•	Implement new structure to support the delivery of corporate priorities			
			•	Effective MPA communication strategy, including regular staff meetings and briefings		New police reform update for staff being circulated.	
8. Develop and maintain effective working relationships with key strategic partners in policing: MPS,	Ineffective representation  Ineffective lobbying  Ineffective communication  Lack of clarity around	MPA strategic plan, Met Forward and policing priorities not delivered  Lack of credibility and damage to MPA reputation  Duplication of work/inefficient use of	•	Identify all key strategic partners	Impact: M Likel'hd: L	Stakeholder engagement mapping completed in May in relation to Met Forward. Delivery plan produced to publicise Met Forward; both internally and to external stakeholders. To be reviewed in light of the next iteration of Met Forward.	G
Home Office, GLA family, Local	respective roles and responsibilities	resources	•	Develop effective communication strategy and plan for engaging with all key strategic partners for the MPA			
authorities, APA, LCJB, NPIA	Risk Owner: MPA Chief Executive		•	Open and effective communication between all parties facilitated by the MPA communication strategy and plan			
			•	Establish protocols governing the exchange of data / statistics between the MPA and key strategic partners			
			•	Appropriate and effective MPA representation at meetings with key strategic partners providing influential input and giving effective feedback			
			•	Effective lobbying, demonstrate publicly the need for and benefits of the MPA			

9. Effective management of the budget, responding appropriately to the economic climate and budget pressures maximising the resources	Failure to secure adequate level of funding, particularly due to Comprehensive Spending Review  Not aligning the budget to meet agreed priorities  Not identifying and/or	MPA strategic plan and policing priorities not met  Poor value for money  Inefficient/waste use of resources  Reputational damage to the MPA and MPS	<ul> <li>Aligning strategic and financial planning effectively</li> <li>Realistic and accurate MPA budget submission</li> <li>Identify deliverable savings and monitoring impact on the budget</li> </ul>	Impact: M Likel'hd: M   A	Savings proposals for 2011/12 are being finalised. CPEG/CDRP performance is being benchmarked to use best practice to manage costs	A
available to policing	realising budget efficiencies and savings	Lack of sufficient resources for equalities and engagement work,	<ul> <li>Identifying opportunities for additional funding and effective lobbying for resources</li> </ul>			
	Ineffective scrutiny and monitoring of the budget	leading to criticism/ challenge from community and/ or employee groups	<ul> <li>Economic and efficient use of resources particularly in key areas such as estates, procurement, IS/IT capital programme – Met Support</li> </ul>			
	Risk Owner: MPA Treasurer		<ul> <li>Influential MPA input to and scrutiny of the productivity agenda and Service Improvement Programme</li> </ul>		Productivity working group meets regularly to progress work in this area.	
			<ul> <li>Effective MPA scrutiny of the MPS budget – Treasurer, Finance and Resources Committee, Resources and Productivity Sub Committee</li> </ul>		Informal budget scrutiny complete. Formal scrutiny currently being carried out. Equality impact assessments to be undertaken on both MPS and MPA budgets	
			<ul> <li>Effective budgetary control framework</li> <li>Effective budget contingency planning         <ul> <li>adequate reserve provision</li> </ul> </li> </ul>	-		
			Internal review activity reports on opportunities for better value for money and increased efficiencies		A value for money strategy is under development	
			<ul> <li>Opportunities for collaboration and shared services with partners including GLA, MPS and GLA maximised</li> </ul>		Shared services opportunities currently being explored.	
			Effective management of change			

			programme and clear communication to staff, highlighting need to save money			
10. Effective management of risk within the MPA and the MPS	Ineffective MPA oversight and review of risk management and the internal control framework within the MPS  Lack of strategic direction on risk management  Inadequate policy and	MPA strategic plan and policing priorities not met Ineffective decision making Inefficient use of resources Potential key risks not identified and subsequently materialise	<ul> <li>Effective MPA Corporate Governance Committee responsible for the oversight of risk management.</li> <li>Effective Internal Audit Service</li> <li>Effective MPA Corporate Governance Framework</li> <li>Clearly defined MPA and MPS risk management strategies supported by effective risk management process</li> </ul>	Impact: M Likel'hd: M	Further review of MPA risk register and evaluation of key control measures.  DARA review of MPS risk maturity model.	
	procedures supporting the embedding of risk management  Inadequate internal control framework	Damage to reputation and credibility  Possibility of legal action against MPA/MPS  Loss of resources	<ul> <li>MPA SMT, BMG and MPS         Management Board buy-in to risk         management approach</li> <li>Embedding risk management in         MPA/MPS corporate planning and         performance management framework</li> </ul>	А	Further integration of risk management into MPA planning and performance framework.	G
	Risk Owner: MPA Director of Audit, Risk and Assurance		<ul> <li>Early identification and escalation of emerging risks through MPA SMT and BMG</li> <li>BMG review and monitoring of action</li> </ul>		Met Forward reports to BMG key emerging risks for action. The emerging issues and risks log is updated regularly and presented to BMG.  A regular agenda item at	
			taken to mitigate and manage corporate and emerging risks.  • Training for staff/members	-	BMT meetings.  Training for Business  Management Team to be organised.	
11. National role in policing delivered effectively and to the benefit of Londoners (CT, Olympics	Lack of clarity and definition of national responsibilities  Ineffective governance Ineffective	Inability to deliver operational policing requirements effectively  Adverse effect on policing role/priorities in London	<ul> <li>Working with the MPS and Home Office to clearly define national role and responsibilities</li> </ul>	Impact: M Likel'hd: M	Olympics role well in hand. Refining MPA CT role and responsibilities as Govt changes to CT national governance materialise. National review of Prevent due to be completed by	

			CONFORATE RISK FROFILE			
/Paralympics)	management of relationships Inadequate resources	Loss of prestige, lack of future ability to influence Damage to reputation		А	January 2011 and Contest by April 2011. MPA to submit contribution to Prevent review.	А
	Inadequate oversight  Risk Owner: MPA Deputy Chief Executive	Negative impact of Olympics legacy	<ul> <li>Clearly define strategy and objectives for national role and responsibilities</li> <li>Develop a defined and effective governance framework for national responsibilities</li> <li>Secure adequate resources to fulfil national role and responsibilities</li> <li>Effective performance management framework governing national role in policing</li> <li>Effective MPA oversight – CT and Olympics sub committees</li> </ul>		DARA advising on the development of ACPOTAM governance framework.  Await impact of spending review.  CTPS continues to undertake oversight of all CONTEST strands on a rolling basis.  DARA review of Olympics budgetary control framework.	
			<ul> <li>Effective community engagement and consultation with Londoners</li> </ul>			
12. Effective development and use of MPA expertise, skills, resources and	Lack of clarity around role and purpose of the MPA  Ineffective performance monitoring framework	Failure to deliver strategy and meet performance targets  Disproportionate number of staff grievances and	Clearly defined recruitment and retention policy – aiming for a highly skilled and diverse workforce whilst recognising the limited career progression opportunities in the policy area of the business	Impact: M Likel'hd: L	A statement regarding career progression is being developed as part of Standards work.	
work plans to support delivery of the MPA strategic mission MetForward	Inadequately skilled members and workforce  Low morale	ETs and grievance culture perception  Damage to reputation and credibility	<ul> <li>Favourable employment terms and conditions</li> <li>Dynamic training and development strategy for staff and members involving a leadership and</li> </ul>	А		G
ivieti di walu	Inappropriate staff structure Inadequate resources,	Workforce not adapting to future needs	<ul> <li>development programme covering leadership in diversity</li> <li>Clearly defined HR strategy and policies supported by effective processes that are consistently applied</li> </ul>			
	including loss of		Clearly defined objectives and work		Project management toolkit	

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resources due to maternity leave  Inadequate experience	plans designed to meet strategic aims of MetForward	developed. Staff training arranged/support given to embed principles. Met Forward project support
and skills in diversity		available to all project leads.
and overreliance on	Met Forward Programme	This is being continually
key individuals to	management framework	developed.
champion change	Effective performance management	001000
	framework	
Lack of succession	Clearly defined personal objectives	
planning	linked to unit and corporate objectives	
	Effective performance appraisal  author for more and staff.	
Risk Owner:	system for members and staff	
MPA Chief Executive	MPA internal communication strategy	
	Effective staff representation and	Staff survey conducted
	consultation	summer 2010. Results to be
		discussed with unions and
		action plan developed.
	Effective handling of staff grievances,	Grievance procedure to be
	supported by clearly communicated	reviewed in light of staff
	standards of behaviour expected and	survey results.
	training and support for managers in	Values and behaviours work
	dealing with staff who do not comply	has lead to new set of MPA
		standards which will be
		communicated shortly.
	Clearly defined and tested business	A business continuity plan
	continuity plan	training event is scheduled.
	Effective health and safety policies	
	and procedures	
	Organisation structure to support	A review of the work within
	delivery of Met Forward and to	the equalities and
	mainstream equalities within this,	engagement team to be
	mansueam equantes within this,	undertaken to encourage
		mainstreaming of equalities
		and to ensure the best use of
		available resources.
		available lesoulces.
	Skills audit of staff and utilisation	A skills audit is underway.
	opportunities maximised	Team building events and
	opportunities maximiseu	opportunities to utilise and
		opportunities to utilise and

					cross fertilise skills will then be sought.	
			Mentoring of staff		Mentoring programme has been launched	
13. High profile MPA initiatives are delivered in line with requirements and expectations	Inadequate skills and/or resources Ineffective oversight and management III defined objectives Unrealistic expectations Ineffective communication	Lack of confidence in policing  Reputational damage to the MPA  Lack of credibility  Inefficient use of resources	<ul> <li>Clearly defined objectives and deliverables</li> <li>Appropriately skilled officer support</li> <li>Dedicating adequate resources to initiative</li> <li>Setting a reasonable and achievable timescale</li> <li>Effective management and oversight and intervention by BMG when required</li> </ul>	Impact: M Likel'hd: M  A	A regular highlight report on delivery progress of high profile initiatives to be presented to BMG, after discussion with BMT or SMT	А
	Risk Owner: MPA Chief Executive		Effective media/public communication		where appropriate. Clear recommendations for action to be taken by BMG to be presented when required.	
			<ul> <li>Publicly reporting progress and output of high profile reviews</li> </ul>		Race and Faith review reported to Full Authority. Recommendations are going to CEP in December 2010. Response reports from MPA and MPS to go to Full Authority in November 2010. Race and Faith Delivery Group to be set up.	
					Second iteration of Civil Liberties Panel G20 report will be published by the end of November 2010.	